

# Er-Doped AlGaAs Native Oxides: Photoluminescence Characterization and Process Optimization

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**Abstract**—We present 300 K photoluminescence (PL) characterization data for wet thermal native oxides of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}$  films grown by metal organic chemical vapor deposition and doped with Er via multiple high-energy ion implants (for 0.0675, 0.135, and 0.27 atomic percent (at.%) peak Er concentrations), and  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}$  and  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}$  films doped with Er (0.03–0.26 at.%) during molecular beam epitaxy crystal growth. Broad spectra with a  $\sim 50$ -nm full-width at half-maximum and a PL peak at  $1.534 \mu\text{m}$  are observed, characteristic of  $\text{Al}_2\text{O}_3:\text{Er}$  films. The dependencies of PL intensity, spectra, and lifetime on annealing temperature ( $675^\circ\text{C}$ – $900^\circ\text{C}$ ), time (2–60 min) and As overpressure (0–0.82 atm) are studied to optimize the annealing process, with As considered as a possible quenching mechanism. Wet and dry-oxidized films are compared to explore the role of hydroxyl (OH) groups identified by Fourier transform infrared (FTIR) spectroscopy. FTIR experiments employing heavy water ( $\text{D}_2\text{O}$ ) suggest that OH groups in wet oxidized AlGaAs come mainly from post-oxidation adsorption of atmospheric moisture. AlGaAs:Er films wet oxidized with 0.1%  $\text{O}_2$  added to the  $\text{N}_2$  carrier gas show a fourfold PL intensity increase, doubled PL lifetime to  $\tau \sim 5.0$  ms (0.27 at.% implanted sample), and the lowest degree of concentration quenching.

**Index Terms**—Aluminum alloys, erbium, integrated optoelectronics, materials processing, optical amplifiers, oxidation, photoluminescence, semiconductor films.

## I. INTRODUCTION

MOTIVATED by the widespread application of the rare earth element Er for signal amplification near  $\lambda = 1.54 \mu\text{m}$  in optical fiber telecommunications systems [1], [2], the rare-earth-doping of semiconductors has been extensively explored to increase the potential integration of optical

and electronic components [3], [4]. In an earlier work [5], we demonstrated a new approach for incorporating Er into the AlGaAs–GaAs compound semiconductor system by converting Er-doped AlGaAs to its native oxide by wet-thermal oxidation [6], [7]. Strong room temperature (300 K), continuous wave  $\text{Er}^{3+}$  photoluminescence (PL) with a lifetime as long as 7 ms was observed from oxidized AlGaAs with shallow doping via an 80-keV  $\text{Er}^{2+}$  ion implantation prior to oxidation [5]. These native oxides, close in nature to  $\text{Al}_2\text{O}_3$  [8], are naturally more suitable hosts for trivalently ionized  $\text{Er}^{3+}$  atoms than the unoxidized semiconductors. This is due to the similarity in valency and lattice constants between  $\text{Al}_2\text{O}_3$  and  $\text{Er}_2\text{O}_3$ , which leads to greater solubility and optical activation of the Er. There has also been considerable recent interest in Er-doped thin-film materials for the development of planar waveguide amplifiers [9]–[23]. Among these,  $\text{Al}_2\text{O}_3:\text{Er}$  thin films are the most widely explored because of the possibility for incorporating high concentrations of Er, for the large inhomogeneous broadening of Er, which yields a large ( $\sim 55$  nm) spectral bandwidth well suited to wavelength-division-multiplexing (WDM) applications, and for the ability to realize low-loss waveguides. A net optical gain of 2.3 dB has been realized for a 4-cm-long  $\text{Al}_2\text{O}_3:\text{Er}$  waveguide with 9 mW of  $1.48\text{-}\mu\text{m}$  pump power [12], and recent simulations predict greater gain with the use of  $\text{Er}^{3+}\text{--Yb}^{3+}$  codoping [22], [23]. One motivation for exploring Er-doped AlGaAs films is the potential for realizing a  $1.55\text{-}\mu\text{m}$  planar oxide waveguide amplifier monolithically-integrated with other electronic or optoelectronic components, such as a 980-nm InGaAs quantum-well (QW) pump laser, or  $1.55\text{-}\mu\text{m}$  GaInNAs QW signal lasers [24] or detectors. We have previously demonstrated the possibility for realizing an oxide waveguide by fully oxidizing an AlGaAs heterostructure [25], [26].

Because of the heavy mass of Er, its low-energy implantation depth profile is very shallow and unsuitable for providing a gain region in a single-mode waveguide amplifier. In this work, we oxidize AlGaAs doped with Er via multiple high-energy ion implants, and AlGaAs and AlInAs doped during crystal growth by molecular beam epitaxy (Section II-A). The dependence of PL intensity and lifetime of both ion-implanted and *in situ* doped samples are studied for Er concentrations in the range of 0.03–0.27 atomic percent (at.%) in the unoxidized semiconductor. Extensive PL studies are done to optimize the oxidation and annealing process and investigate various quenching mechanisms, including arsenic quenching (Section III-C), hydroxyl quenching (Section IV), and concentration quenching (Section VI). Annealing temperature, time, and As overpressure

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dependencies are discussed in Section III. Fourier transform infrared (FTIR) transmission spectra of oxide films grown in both water vapor ( $\text{H}_2\text{O}$ ) and deuterium oxide ( $\text{D}_2\text{O}$ ) are used to study the presence and source of hydroxyl (OH) groups in the wet oxides. High-temperature annealing has been employed as an effective post-processing step to activate erbium ions and remove OH groups. We have shown elsewhere that the addition of trace amounts of oxygen to the nitrogen carrier gas enhances the wet oxidation rates and increases the oxide refractive index with lower Al composition AlGaAs alloys [26], [27]. In Section V, we demonstrate that the introduction of 1000 ppm  $\text{O}_2\text{-N}_2$  results in a significant enhancement of the  $\text{Er}^{3+}$  PL intensity and lifetime, with lifetime values ( $\sim 5.0$  ms) similar to those achieved in comparably doped  $\text{Al}_2\text{O}_3\text{:Er}$  films prepared by other methods [9], [20].

## II. ERBIUM INCORPORATION AND EXPERIMENTAL METHODS

### A. Material Preparation

The starting materials employed in this paper are  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  and  $\text{Al}_x\text{In}_{1-x}\text{As}$  films, grown either by molecular beam epitaxy (MBE) and doped with Er *in situ* during crystal growth, or by metal organic chemical vapor deposition (MOCVD) and doped with Er post-growth by multiple ion implantations. Both techniques permit a higher dose to be distributed more uniformly over a greater depth for a lower peak concentration than achieved with the shallow, low-energy implants of [5]. This prevents ion-ion quenching interactions that occur at higher peak concentrations and allows stronger PL signals, enabling measurement of both luminescence intensity and lifetime for a wide range of sample preparation conditions, essential to the process optimization studies and doping method comparisons presented here. The more uniform Er concentration profiles also permit a meaningful study of the dependence of photoluminescence intensity upon Er concentration (i.e., concentration quenching), as presented in Section VI.

The two doping methods presented here are different regarding profile control and concentration limits. By *in situ* doping with Er during crystal growth, the doping profile can be easily tailored as needed, with a doping depth as deep as the thickness of the AlGaAs layer. However, the highest Er concentration is limited by its solubility in the AlGaAs semiconductor, about  $5 \times 10^{17} \text{ cm}^{-3}$  and  $4 \times 10^{19} \text{ cm}^{-3}$  for  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$  grown at  $580^\circ\text{C}$  and  $400^\circ\text{C}$ , respectively [28]. In contrast, ion implantation can achieve an Er concentration well above the solubility limit because the Er is not distributed in a thermal equilibrium phase with its host. In this situation, the Er may still aggregate and form clusters during the subsequent thermal oxidation process. This shortcoming may be avoidable by implanting the Er ions after oxidation.

1) *Er Incorporation in situ During Molecular Beam Epitaxial Growth:* Several AlGaAs and AlInAs samples, grown by MBE for a previous study [28], [29] of Er-doped semiconductors at North Carolina State University, Raleigh, were subsequently provided to the University of Notre Dame, IN, for additional oxidation and photoluminescence studies to further explore the suitability of the native oxides as rare earth hosts. The  $\text{Al}_{0.8}\text{In}_{0.2}\text{As:Er}$  sample used in this work was

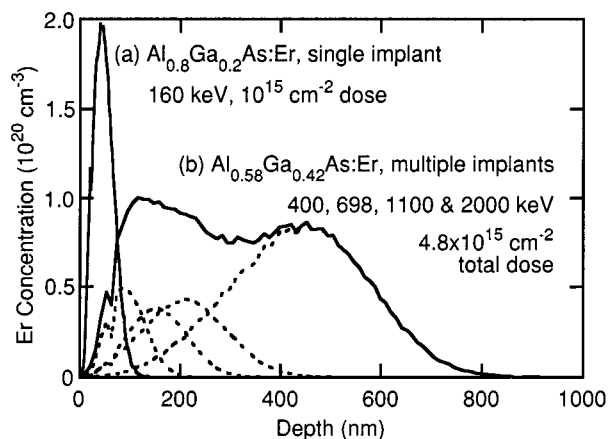


Fig. 1. Erbium concentration doping profiles, simulated by TRIM'98, of (a)  $\text{Al}_{0.8}\text{Ga}_{0.2}\text{As:Er}$  doped with  $10^{15} \text{ cm}^{-2}$  dose by a single low-energy (160 keV) implantation [5] and (b)  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  doped with  $4.8 \times 10^{15} \text{ cm}^{-2}$  dose by four high energy (400, 698, 1100, and 2000 keV)  $\text{Er}^+$  implants (this work).

grown as a mismatched film on a GaAs substrate, originally for doping calibration, and chosen for this study due to its higher Er effusion cell temperature of  $900^\circ\text{C}$ , yielding an Er concentration of  $\sim 0.03$  at.%. The  $\text{Al}_{0.8}\text{In}_{0.2}\text{As:Er}$  layer is  $\sim 1.4 \mu\text{m}$  thick and is capped with a  $60 \text{ \AA}$  GaInAs layer. Three  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As:Er}$  samples with different Er concentrations have also been studied. For these, the GaAs substrate temperature is about  $550^\circ\text{C}$ , and the erbium cell temperatures are  $900^\circ\text{C}$ ,  $950^\circ\text{C}$ , and  $1000^\circ\text{C}$ , respectively, giving uniform erbium concentrations of about  $1.27 \times 10^{19} \text{ cm}^{-3}$  (0.029 at.%),  $3.97 \times 10^{19} \text{ cm}^{-3}$  (0.09 at.%), and  $1.14 \times 10^{20} \text{ cm}^{-3}$  (0.258 at.%), respectively. The  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As:Er}$  layers are also  $\sim 1.4 \mu\text{m}$  thick, and are capped with a  $60 \text{ \AA}$  GaAs layer. The Er concentrations versus Er cell temperature for all samples are based upon a calibration study performed with secondary ion mass spectrometry on several  $\text{Al}_{0.45}\text{Ga}_{0.55}\text{As:Er}$  samples grown at  $540^\circ\text{C}$  [28]. Er incorporation during the MBE growth of GaAs:Er [30] and AlGaAs:Er [28] has been shown to be independent of substrate temperature.

2) *AlGaAs With Post-Growth Er Incorporation by High-Energy Ion Implantation:* For further studies on oxides of Er-implanted AlGaAs, a  $2\text{-}\mu\text{m}$ -thick film of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}$  was grown at The University of Texas at Austin by MOCVD, and subsequently doped with Er via high-energy implantation at FOM Institute for Atomic and Molecular Physics (AMOLF), Amsterdam, The Netherlands. The film is grown on a semi-insulating GaAs substrate with a 200-nm GaAs buffer layer, and capped with a 50-nm GaAs cap layer which remains on the sample during implantation. All layers are not intentionally doped. Erbium concentration profiles as simulated by a Monte Carlo analysis with TRIM'98 [31] are shown in Fig. 1. Fig. 1(a) shows for comparison the simulated doping profile of the  $\text{Al}_{0.8}\text{Ga}_{0.2}\text{As}$  sample with a single low-energy (160 keV) Er implant ( $10^{15}\text{-cm}^{-2}$  dose) from our previous study [5]. Except for the discontinuity at the interface between the 20 nm GaAs cap layer and the AlGaAs film, the profile has nearly a Gaussian-shape with a range of 46 nm, a straggle of 18 nm, and a peak Er concentration of about  $2 \times 10^{20} \text{ cm}^{-3}$  (0.45 at.%). Fig. 1(b) shows the simulated doping profile of the highest dose

( $4.8 \times 10^{15} \text{ cm}^{-2}$ ) multiple high-energy implant sample used in this work. To achieve this relatively flat doping profile to a depth of  $\sim 0.5 \mu\text{m}$ , four implants of singly-ionized  $\text{Er}^+$  are done at 400 keV ( $4.4 \times 10^{14} \text{ cm}^{-2}$ ), 698 keV ( $5.5 \times 10^{14} \text{ cm}^{-2}$ ), 1100 keV ( $8.9 \times 10^{14} \text{ cm}^{-2}$ ), and 2000 keV ( $2.9 \times 10^{15} \text{ cm}^{-2}$ ). A flatter profile is possible through further fine-tuning of the implants. For two more lightly-doped samples with total doses of  $1.29 \times 10^{15} \text{ cm}^{-2}$  and  $2.39 \times 10^{15} \text{ cm}^{-2}$ , identical implant energies are used but with proportionately lower individual doses. Implantation doses are accurate to  $\pm 10\%$ , with the energy stable to within  $\pm 2 \text{ keV}$ . The implants were carried out at an angle of  $7^\circ$  off normal to avoid channeling, with the sample cooled to 77 K. A Rutherford backscattering spectrometry (RBS) measurement done at AMOLF on the sample with the lowest dose gave a concentration of 0.0675 at.% instead of the 0.05 at.% simulated by TRIM'98. The actual concentration of two other samples, based on the simulated concentration via TRIM'98 and adjusted accordingly, are 0.135 at.% and 0.27 at.% before oxidation. Due to the  $\sim 85\%$  shrinkage upon oxidation of AlGaAs, the Er volume concentration ( $\text{cm}^{-3}$ ) in the native oxide is expected to be slightly higher than that in the semiconductor. Most of the As escapes from the crystal during oxidation, while oxygen is incorporated (as most simply illustrated by example of the conversion of  $2\text{AlAs}$  to  $\text{Al}_2\text{O}_3$ ), so that the number of atoms in a unit volume increases by  $\sim 1.25\times$ , reducing the total concentration of Er in atomic percent in the native oxide accordingly. The Er concentrations reported in this paper are given relative to the better-known semiconductor values.

### B. Thermal Oxidation and Annealing

Post-implantation anneals typically performed to remove implant damage in crystalline materials were not done in this study. Such anneals are believed to be both unnecessary before the Er-doped semiconductor crystal is oxidized (naturally removing all implantation-induced crystal defects while the material is made amorphous), and undesirable as they would lead to increased precipitation of the Er due to its lower solubility in the semiconductor crystal relative to the oxide. After removing the cap layer, the Al-bearing ternary alloy films described above are thermally oxidized from the surface in a 2-in tube furnace. Oxidations are performed either at  $450^\circ\text{C}$ – $500^\circ\text{C}$  in an atmosphere of water vapor formed by bubbling ultrahigh purity (UHP)  $\text{N}_2$  (0.67 L/min) through  $\text{H}_2\text{O}$  at  $95^\circ\text{C}$  (forming a “wet” oxide), or in dry UHP purity  $\text{O}_2$  gas at  $700^\circ\text{C}$ – $750^\circ\text{C}$  (without water vapor, forming a “dry” oxide). For wet oxidations with trace amounts of added  $\text{O}_2$  (up to 7000 ppm  $\text{O}_2/\text{N}_2$ ), a separate, calibrated (5 sccm full scale) mass flow controller channel is used to controllably mix  $\text{O}_2$  into the  $\text{N}_2 + \text{H}_2\text{O}$  process gas stream (Section VI). The water ( $\text{H}_2\text{O}$ ) in the bubbler is replaced with 99.8% pure  $\text{D}_2\text{O}$  for some of the wet oxidations to detect the source of OH groups (Section V). Full oxidation is verified by both prism coupler [27] and scanning electron microscopy (SEM) cross-section measurements. In order to activate the Er ions and reduce OH quenching centers (Section III), the wet oxides are annealed open tube in an inert gas ambient (Ar or  $\text{N}_2$ ) at temperatures ranging from  $700^\circ\text{C}$  to  $900^\circ\text{C}$ . A 5–20 min ramp time

to the desired annealing temperature prevents oxide delamination problems [32] encountered with rapid thermal processing attempts. We found no observable difference in the PL intensity of  $\text{Er}^{3+}$  in studies of samples annealed in  $\text{N}_2$ , Ar, or  $\text{O}_2$ . Closed-tube annealing in sealed quartz ampoules with varying levels of As overpressure is performed to study the role of As in the native oxide hosts (Section IV).

### C. Optical Characterization

Photoluminescence (PL) characterization is performed at room temperature (300 K) by exciting the  $\text{Er}^{3+}$  with a cw Ti:Sapphire laser tuned to 980 nm, or with either the 488 or 514 nm line of a cw Argon ion laser. Pump powers are typically 500 mW or less, focused to a  $\sim 0.5\text{-mm}$  spot size. The pump beam is mechanically chopped at a frequency between 10–20 Hz. The  $\text{Er}^{3+}$  luminescence is spectrally analyzed using a 0.5 m grating monochromator with a TE-cooled InGaAs detector and lock-in amplifier. Luminescence decay curves are averaged on a digitizing oscilloscope with computer fitting used to extract lifetime values. The cutoff time of the chopper wheel is about 0.22 ms, and the InGaAs detector has a rise time of less than 0.2 ms.

For FTIR transmission spectroscopy measurements, the backside of the samples are mechanically polished to reduce scattering. Because of transmission differences caused by polishing variations, only qualitative comparisons of spectral absorption features are intended.

## III. ANNEALING PROCESS OPTIMIZATION

A high-temperature annealing process is typically performed with an Er-doped material to favorably modify the host structure and to optically activate the Er ions (through locating them on sites surrounded with more nonbridging O atoms which places them in a trivalently ionized  $\text{Er}^{3+}$  state), and potentially to suppress PL-quenching nonradiative centers from OH groups and other defects [14], [20], [33]. The results of studies performed to determine the optimal annealing conditions for the Er-doped native oxides in this work are presented here.

### A. Annealing Temperature Dependence

Fig. 2 shows the effects of annealing temperature on both PL intensity and the  $1/e$  luminescence lifetime,  $\tau$ , of the  $\text{Er}^{3+} \ ^4\text{I}_{13/2}$  level in native oxides of the implanted  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}:\text{Er}$  samples ( $[\text{Er}] = 0.135 \text{ at.}\%$ ). All samples are wet oxidized at a temperature of  $500^\circ\text{C}$  for  $\sim 90 \text{ min}$ , and then annealed in  $\text{N}_2$  for  $\sim 10 \text{ min}$  at the temperatures shown. The PL peak wavelengths and the spectral full-width at half-maximum (FWHM) remain virtually unchanged upon annealing. The plotted peak PL intensity at 1534 nm increases as the annealing temperature increases, reaching a maximum around  $700^\circ\text{C}$  and then falling off at higher temperatures. Similar behavior was observed in our study of  $\text{Al}_{0.8}\text{Ga}_{0.2}\text{As}$  films oxidized following a low-energy Er implant, where at an optimal annealing temperature of  $\sim 700^\circ\text{C}$ , the PL intensity increased by a factor of more than 20 compared to an unannealed oxide [5]. Fig. 2 shows that the lifetimes here remain

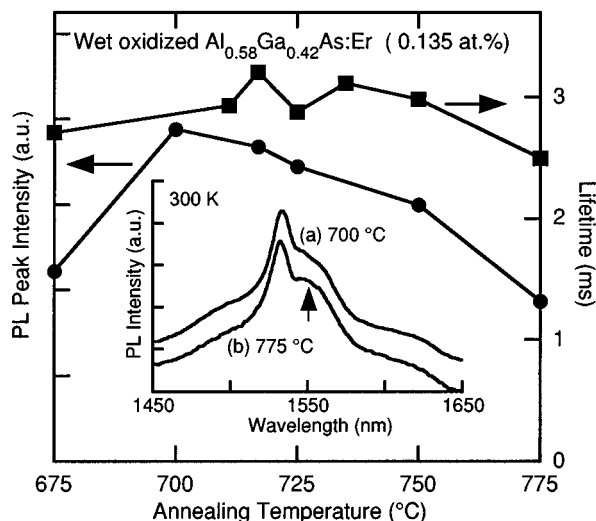


Fig. 2. PL peak intensity at  $1.534 \mu\text{m}$  and the corresponding lifetime measured as functions of annealing temperature for native oxides of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  ( $\lambda_{\text{pump}} = 980 \text{ nm}$ ). All samples are wet oxidized at  $500^\circ\text{C}$  for  $\sim 90 \text{ min}$ , then annealed in  $\text{N}_2$  at the indicated temperature for  $\sim 10 \text{ min}$ . The inset shows PL spectra of samples annealed at (a)  $700^\circ\text{C}$  and (b)  $775^\circ\text{C}$ , respectively. Spectra (b) was scaled by  $\sim 2.1 \times$  and shifted along the  $y$  axis relative to (a) for comparison. Spectra (a) peaks at  $1.534 \mu\text{m}$  with a 50-nm FWHM.

fairly constant across the entire annealing temperature range, at  $\tau = 2.5\text{--}3.2 \text{ ms}$ . This suggests that the observed variations in intensity are mainly determined by the fraction of optically active  $\text{Er}^{3+}$  ions and less by changes in their interactions with quenching mechanisms/centers that alter nonradiative decay rates [9].

In Er-doped  $\text{Al}_2\text{O}_3$  films fabricated by other techniques [9], [16], [17], the PL intensity is highest for the highest annealing temperature of  $950^\circ\text{C}$ . For Er-implanted  $\text{Al}_2\text{O}_3$ , annealing studies indicate that at temperatures above  $\sim 700^\circ\text{C}$  the primary effect is an increase in the fraction of optically active  $\text{Er}^{3+}$  ions [9], [14]. Amorphous anodic  $\text{Al}_2\text{O}_3$  thin films annealed at  $800^\circ\text{C}$  show a phase transition from a gamma-like structure where Al ions are tetrahedrally coordinated in the oxygen lattice to a more alpha-like structure with octahedrally coordinated sites [34]. The larger number of nonbridging O atoms in an octahedral structure will increase the coordination of isolated  $\text{Er}^{3+}$  ions and reduce Er clustering [1], [17], [22]. We believe that the optimal annealing temperature in this work is reduced compared to other  $\text{Al}_2\text{O}_3$  hosts by the onset of an “arsenic quenching” mechanism, in which As outdiffusing from the underlying GaAs substrate at higher temperatures is incorporated into the oxide film creating luminescence quenching centers in the form of either defects or optically inactive ErAs precipitates [30]. The inset of Fig. 2 shows PL spectra after annealing at (a)  $700^\circ\text{C}$  and (b)  $775^\circ\text{C}$ . The enhancement of the intensity of the secondary peak centered at  $\sim 1545 \text{ nm}$  after annealing at  $775^\circ\text{C}$  may indicate a change in the Er ion environment, and is seen in other experiments discussed below. The effects of As are explored further in Section III-C.

### B. Annealing of AlInAs Oxide

Here, we note the strikingly different annealing behavior that was observed for the oxides of the  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}$  film doped

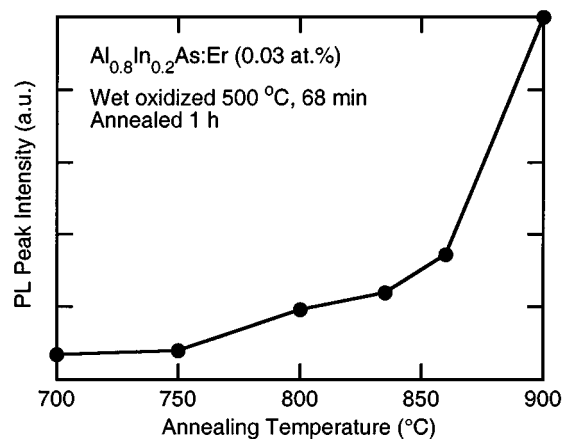


Fig. 3. PL peak intensity at  $1.534 \mu\text{m}$  measured as a function of annealing temperature for native oxides of  $\text{Al}_{0.8}\text{In}_{0.2}\text{As:Er}$  ( $\lambda_{\text{pump}} = 488 \text{ nm}$ ). All samples are wet oxidized at  $500^\circ\text{C}$  for about 68 min, and then annealed in Ar atmosphere at the temperature shown for  $\sim 1 \text{ h}$ .

with Er during MBE growth. Fig. 3 shows that the PL intensity increases monotonically with annealing temperature until  $900^\circ\text{C}$ , at which point the surface of the oxide film becomes very rough. As the substrate of these samples is GaAs, it is surprising that the arsenic quenching phenomena suggested for Al-GaAs:Er oxides has not been observed. Possible explanations include that the presence of In leads to a denser oxide which retards the high-temperature dissociation of the GaAs substrate, or that In somehow suppresses ErAs precipitation or otherwise increases the optical activation of Er in the host. Limited sample availability prevented further study of this unexpected annealing behavior.

### C. Arsenic Overpressure Annealing

It is likely that arsenic (As) affects the optical activity of Er dopants in III-V semiconductor oxides. This column V element mostly leaves the crystal upon oxidization, but residual As levels of up to  $\sim 2 \text{ at.}\%$  can remain, primarily coordinated with O in the form of amorphous-As oxides [see [35] and references therein]. The dissociation of the GaAs substrate during high-temperature annealing provides another source of As. It is known that As can combine with Er to form optically inactive ErAs [30]. Wet oxidized AlGaAs films are known to contain significant H content (2 at.% in the native oxide of AlAs), possibly in the form of OH bonded with Al [8]. Arsenic has been shown to reduce levels of OH or  $\text{H}_2\text{O}$  in AlGaAs native oxides annealed in sealed ampoules with As overpressure ( $\sim 0.1 \text{ atm}$ ) [36], suggesting it may have a beneficial role here for decreasing luminescence-quenching OH groups. To further investigate the impact of As in the Er-doped native oxide host, we have performed closed-tube annealing with varying levels of arsenic overpressure (As-OP) followed by PL intensity measurements.  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  (0.067 at.%) samples oxidized at  $500^\circ\text{C}$  are vacuum sealed in quartz ampoules with a solid As source and annealed at  $750^\circ\text{C}$  for  $\sim 20 \text{ min}$ . The reference sample (no As-OP) is annealed at  $750^\circ\text{C}$  for  $\sim 20 \text{ min}$  in  $\text{N}_2$  using our standard open-tube technique.

Fig. 4 shows that the PL peak intensity drops quickly with 0.225 atm As-OP, dropping more slowly with increased As-OP

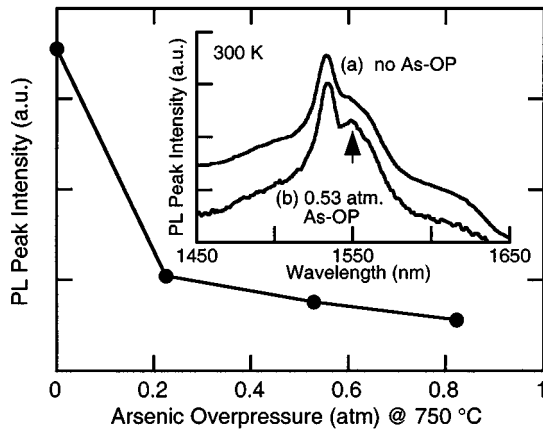


Fig. 4. PL peak intensity of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  (0.067 at.%) oxidized at  $500\text{ }^\circ\text{C}$  and then annealed at  $750\text{ }^\circ\text{C}$  for  $\sim 20$  min versus variation in arsenic overpressure ( $\lambda_{\text{pump}} = 980\text{ nm}$ ). The inset shows PL spectra of samples (a) annealed open-tube with no As and (b) annealed in a vacuum-sealed quartz ampoule with 0.530 atm As overpressure (As-OP).

up to 0.823 atm. As seen in the inset of Fig. 4, the PL spectrum of the sample annealed with 0.53 atm As-OP shows a similar enhancement of the peak around 1547 nm as is observed in Fig. 2(b) for samples annealed open-tube at higher temperatures. It would be useful to study the variations in PL lifetime accompanying the observed intensity changes in Fig. 4 to further elucidate whether they are driven by variations in optical activation levels or nonradiative decay channels [9]. Unfortunately, our limited material availability dictated that these experiments be done with our lowest dose implanted sample that gave insufficient PL signal strength to allow accurate lifetime measurements. The data of Fig. 4 does, however, confirm that increased As levels can negatively impact the luminescence of Er-doped native oxides. Since the As levels incorporated here may not be representative of those occurring during typical sample processing, it is not known whether degradation is the dominant effect. However, a decrease in the fraction of optically active Er ions through the formation of ErAs complexes appears to be the most likely source of the PL intensity decrease for temperatures above  $700\text{ }^\circ\text{C}$  in Fig. 2 where substrate dissociation occurs more aggressively. Fig. 4 shows that As-OP annealing, typically employed to suppress GaAs substrate dissociation, presents its own problems here, precluding its use for reaching higher the anneal temperatures desirable to most fully activate Er in native oxide films. Performing the Er implantation after oxidation will avoid the interaction of Er and As and formation of ErAs complexes during oxidation when most As is liberated from the crystal, but As outdiffusion from the substrate could still limit the maximum post-implantation annealing temperature.

#### D. Annealing Time Dependence

Although temperature is the primary factor in the annealing optimization, the anneal time is also subject to optimization. Any desirable solid phase transformation or Er migration that occurs may require a finite time duration, while competing deleterious processes (such as As outdiffusion) place a limit upon the process length. Fig. 5 shows results for  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  samples oxidized at  $500\text{ }^\circ\text{C}$  for 111 min and then annealed in

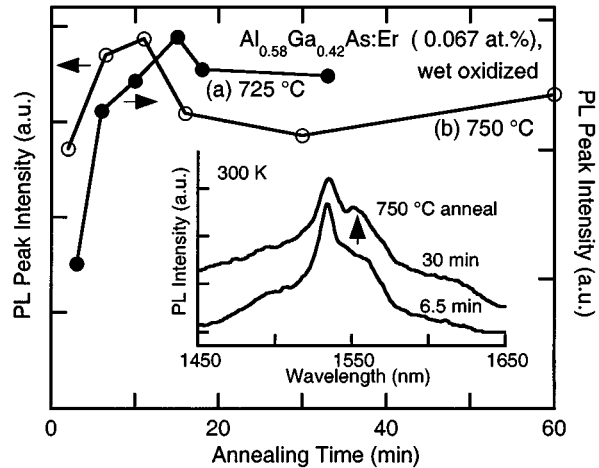


Fig. 5. The dependence of PL peak intensity upon the annealing time of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  (0.067 at.% Er) wet oxidized at  $500\text{ }^\circ\text{C}$  for 111 min and then annealed at (a)  $725\text{ }^\circ\text{C}$  or (b)  $750\text{ }^\circ\text{C}$ , respectively. The inset is the PL spectra of the samples annealed at  $750\text{ }^\circ\text{C}$ . Spectra are offset from each other for purposes of comparison.

$\text{N}_2$  at (a)  $725\text{ }^\circ\text{C}$  or (b)  $750\text{ }^\circ\text{C}$  for the indicated time durations. The PL intensity increases sharply, reaching a peak at 15 min in (a) and 11 min in (b), and thereafter drops and then stabilizes with increased annealing time. This time dependence suggests that a finite time is required for Er ions to locate on an optically active site, for the native oxide to undergo a favorable microstructure change, and/or quenching-related defects to be eliminated. The optimal annealing time is shorter at higher temperature. These results are consistent with the aggression of a dissociating GaAs substrate causing an increased As content in the native oxide films to worsen any As quenching effect. After an initial decrease in the  $\text{Er}^{3+}$  PL intensity with increased annealing beyond the optimal time, very little further change occurs. This can be attributed to the rate of As outdiffusion from the oxide reaching an equilibrium with the rate of incorporation of As into the oxide from the GaAs substrate. As mentioned, annealing with As-OP can reduce the OH content of wet oxide films [36]. The slight PL intensity increase going from 30 to 60 min in Fig. 5(b) may be due to a reduction in OH quenching centers, discussed in Section IV.

PL spectra are shown in the inset of Fig. 5 for samples annealed at  $750\text{ }^\circ\text{C}$  for 6.5 and 30 min. We again note an increase in the intensity of longer wavelength peaks occurring here with longer anneal times. Spectra after a 60-min anneal are very similar to the 30-min spectra (not shown). While a change in relative peak intensities is a manifestation of a change in the relative transition probabilities between the seven Stark-split  $\text{Er}^4\text{I}_{13/2}$  manifold levels and the eight levels of the  $^4\text{I}_{15/2}$  ground state [1], [2], the cause and significance here is unknown. However, we note a possible correlation in that the effect is observed only for samples annealed here at the highest temperatures (Fig. 2), the highest As overpressures (Fig. 4), and the longest times (Fig. 5). These data suggest that this peak enhancement is correlated to the increased levels of As in the oxide films expected under these annealing conditions. If true, however, it is not necessarily directly due to Er-As interaction, given that similar spectra are observed in As-free  $\text{Al}_2\text{O}_3\text{:Er}$  films on

Si [9], [20]. The previously-mentioned As-induced reduction in Al-OH oxide phases may be responsible [36].

#### IV. HYDROXYL QUENCHING

The fundamental vibration mode of OH molecules lies between 2500 and 3600  $\text{cm}^{-1}$  ( $\lambda = 2.7\text{--}4 \mu\text{m}$ ), causing the 2nd harmonic of these OH vibrations to overlap with the 1.5- $\mu\text{m}$  emission band of  $\text{Er}^{3+}$ . Therefore, if an  $\text{OH}^-$  molecule is coupled to an  $\text{Er}^{3+}$  ion that is in its first excited state,  $^4I_{13/2}$ , nonradiative relaxation of the  $\text{Er}^{3+}$  can occur by excitation of two OH vibrational quanta. Such luminescence quenching by OH groups is well known in various oxide and glass hosts [33]. The wet oxides of Al-bearing III-V semiconductor alloys can be expected to retain hydroxyl groups to some degree due to the inherent nature of wet oxidation. The formation of Al-O-H compounds in AlAs native oxides has been inferred from the significant H content by SIMS analysis [25], [36] and elastic recoil detection (ERD) [8]. Moreover, the wet oxides are known to adsorb moisture from the atmosphere [27] due to their porous microstructure. To further establish the role of OH groups as suspected agents of nonradiative decay, we have previously compared the luminescence properties of  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}:\text{Er}$  (0.009 at.%) films oxidized in wet ( $\text{N}_2 + \text{H}_2\text{O}$ ) versus dry ( $\text{O}_2$ ) environments. With the absence of water vapor in the dry oxidation process, the “dry” native oxides provide a similar but OH-free host, resulting in similar spectra but with  $\sim 3$  times greater PL intensity and a 6.7-ms single-exponential decay lifetime [37]. In this section, we report similar results for wet and dry  $\text{AlInAs}:\text{Er}$  films, discuss FTIR measurements, and a study involving oxidation in deuterium oxide (“heavy water”) vapor to investigate the source of OH quenching centers.

##### A. OH Quenching in Wet Versus Dry $\text{AlInAs}:\text{Er}$ Oxide Films

In [37], the light Er doping level of the AlGaAs sample resulted in a weak PL signal for the wet oxidized film, preventing measurement of the decay lifetime for comparison to that of the dry oxide. The experiment as repeated with more heavily doped  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}:\text{Er}$  films ( $\sim 0.03$  at.%) is presented here. Fig. 6 shows PL spectra of  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}:\text{Er}$  and its wet and dry native oxides. Due to a large activation energy for both  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}$  [37] and  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}$  ( $\sim 3$  eV), dry oxidation is quite slow and must be carried out at a high temperature. The dry oxide of  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}:\text{Er}$  in Fig. 6(a) was formed in  $\text{O}_2$  at 725  $^\circ\text{C}$  for 135 min, with no post-oxidation anneal. The wet oxide of Fig. 6(b) was prepared through wet oxidation at 500  $^\circ\text{C}$  for 81 min, with a post-oxidation anneal in Ar at 725  $^\circ\text{C}$  for 1 h. Since the PL intensity from the unoxidized semiconductor has previously been shown to drop after annealing at temperatures higher than 600  $^\circ\text{C}$  due to the diffusion and segregation of Er atoms [28], the semiconductor sample of Fig. 6(c) was not annealed. While Fig. 3 shows that higher anneal temperatures can further increase the PL intensity of the  $\text{AlInAs}$  wet oxide, the anneal temperature is matched here to the 725  $^\circ\text{C}$  dry oxidation temperature (chosen to minimize surface roughness) in order to more directly compare OH quenching effects. The data of Fig. 6 are normalized to allow better comparison of spectral features, discussed further below. The PL of the unoxidized

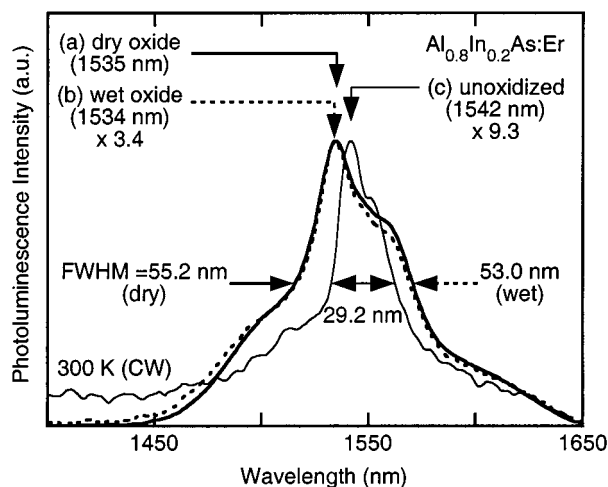


Fig. 6. Room temperature PL spectra from  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}:\text{Er}$  (a) oxidized in pure dry  $\text{O}_2$  (725  $^\circ\text{C}$ , 135 min), (b) oxidized in water vapor (500  $^\circ\text{C}$ , 81 min) and annealed in Ar (725  $^\circ\text{C}$ , 1 h), and (c) unoxidized ( $\lambda_{\text{pump}} = 488$  nm), normalized for comparison. The PL intensity of (a) the dry oxide (peak 1535 nm, 55.2-nm FWHM) is 3.4 $\times$  stronger than (b) the wet oxide (peak 1534 nm, 53.0-nm FWHM) and 9.3 $\times$  stronger than (c) the unoxidized semiconductor (peak 1542 nm, 29.2-nm FWHM).

$\text{Al}_{0.8}\text{In}_{0.2}\text{As}:\text{Er}$  shown in Fig. 6(c) is 9.3 times weaker than that of (a) the dry oxide and 2.7 times weaker than that of (b) the wet oxide. The PL intensity of (a) the dry oxide is 3.4 times greater than that of (b) the wet oxide. The lifetime of the  $\text{Er}^{3+}$   $^4I_{13/2}$  level is shorter in the wet oxide than in the dry oxide, indicating the presence of more nonradiative decay channels. The dry oxide luminescence has a single-exponential decay with  $\tau = 5.5$  ms, while the wet oxide decay signal is best fit by a double exponential with time constants  $\tau_1 \times 1.2$  ms and  $\tau_2 \times 6.9$  ms. The fast component is associated with a quenching mechanism causing nonradiative de-excitation of the Er. Similar double exponential behavior with  $\tau_1 \times 1.9$  ms and  $\tau_2 \times 7$  ms was observed in [5], with the quenching attributed to cooperative upconversion associated with Er-Er interaction in the heavily doped samples. With the lighter doping of these samples, ion-ion interaction is less likely, suggesting another quenching mechanism is at work here (and possibly in [5]). While there are other possible defect-related quenching centers, OH groups are the most probable based on the comparison of wet and dry oxides here. This is supported by FTIR spectra of wet-oxidized AlGaAs films (shown below in Fig. 7) which show a broad OH absorption dip centered at 3410  $\text{cm}^{-1}$ , as is also observed in wet oxidized AlAs [38], but which is not present in our unoxidized or dry-oxidized films (data not shown).

Comparing the spectral features in Fig. 6 for both dry and wet oxides, the central peak is at 1.534 and 1.535  $\mu\text{m}$ , respectively, with FWHM of 55 and 53 nm, respectively, indicating a similar local environment for  $\text{Er}^{3+}$ . We note here that nearly identical spectra are also observed for oxides of AlGaAs:Er doped via ion-implantation (Figs. 2 and 4 insets) or during MBE growth (not shown). All Er-doped oxide spectra are very similar to those of Er-doped deposited  $\text{Al}_2\text{O}_3$  films [9], [11], [17], [20] and  $\text{Al}_2\text{O}_3\text{--GeO}_2\text{--SiO}_2$  glass fibers [39]. The  $\text{Al}_{0.8}\text{In}_{0.2}\text{As}:\text{Er}$  oxide PL spectra is also far broader than the 10-nm FWHM reported for  $\text{In}_2\text{O}_3:\text{Er}$  [10]. It is believed that in such multicon-

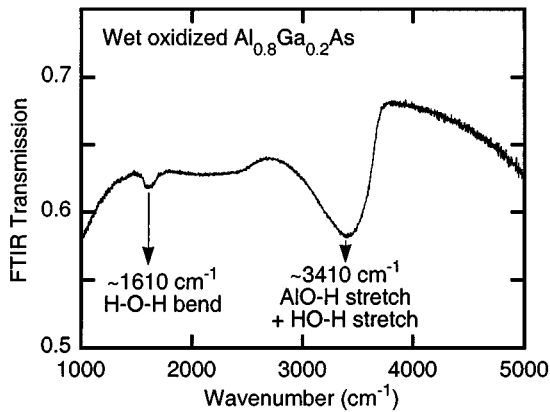


Fig. 7. Normal incidence FTIR transmission spectra of  $\sim 1 \mu\text{m}$  of  $\text{Al}_{0.8}\text{Ga}_{0.2}\text{As}$  wet oxidized in  $\text{H}_2\text{O}$  vapor at  $500^\circ\text{C}$  for 25 min. Dip at  $3410 \text{ cm}^{-1}$  is due to absorption by OH groups and dip around  $1610 \text{ cm}^{-1}$  is due to the H-O-H bending.

stituent materials that erbium ions preferentially coordinate with the  $\text{Al-O}^-$  groups which thus have the dominant influence on the crystal field splitting and resulting  $\text{Er}^{3+}$  Stark levels and transition spectra [39]. In contrast, the inhomogeneous broadening in the crystalline semiconductor host, where each Er ion sees a more consistent crystal field, is much less and results in a FWHM of only 29.2 nm.

### B. Infrared Transmission Spectra of AlGaAs Films Oxidized in $\text{H}_2\text{O}$ Vapor

FTIR transmission spectroscopy has been employed as a sensitive and convenient method to identify OH groups in the oxides, where the absorption band due to the stretching and bending vibrations of the OH-bonded molecules provide a unique spectral signature. Fig. 7 shows the FTIR spectrum of a  $\sim 1\text{-}\mu\text{m}$ -thick  $\text{Al}_{0.8}\text{Ga}_{0.2}\text{As}$  film [27], wet oxidized at  $500^\circ\text{C}$  for 25 min, which indicates that OH groups in the wet oxides exist in two forms, Al-OH and H-OH. Besides the absorption around  $3400 \text{ cm}^{-1}$  due to OH stretching, the small dip around  $1610 \text{ cm}^{-1}$  is due to absorption from H-O-H bending [40]. In general, water absorbs at  $3200\text{--}3550 \text{ cm}^{-1}$  (antisymmetric and symmetric OH stretching) and at  $1600\text{--}1630 \text{ cm}^{-1}$  (H-O-H bending) whereas the hydroxyl complexes of metals lack the H-O-H bending mode near  $1600 \text{ cm}^{-1}$ .

For liquid water, the ratio of absorption magnitudes at  $3410 \text{ cm}^{-1}$  to  $1610 \text{ cm}^{-1}$  is  $\sim 1.5:1$  [40], indicating that the disproportionate dip in Fig. 7 at  $3410 \text{ cm}^{-1}$  is due predominantly to AlO-H and not HO-H. Thus, most of the OH groups in wet oxides exist in the form of AlO-H, which could be formed either during the wet oxidation process [8] or afterwards through reactions with adsorbed moisture from the atmosphere [27]. The following experiments with  $\text{D}_2\text{O}$  suggest that that later mechanism dominates.

### C. Wet Oxidation With $\text{D}_2\text{O}$ Vapor

Since  $\text{D}_2\text{O}$  has very similar chemical properties to  $\text{H}_2\text{O}$ , the wet oxidation process in  $\text{D}_2\text{O}$  is expected to be similar to that using  $\text{H}_2\text{O}$ . We have explored the wet oxidation of AlGaAs:Er in  $\text{D}_2\text{O}$  vapor to provide further insight into the source of OH

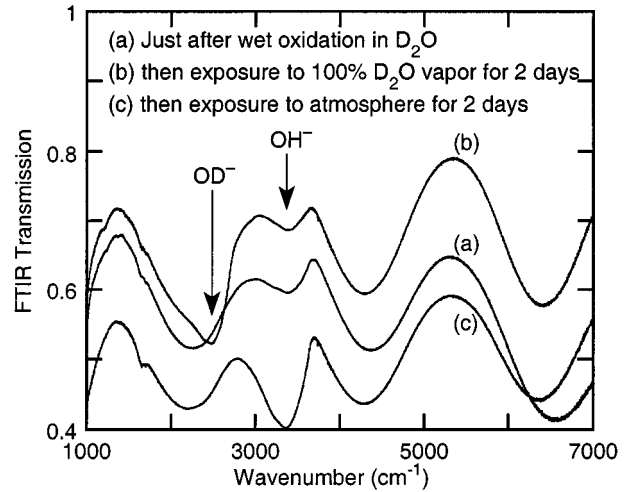


Fig. 8. FTIR spectra of  $2\text{-}\mu\text{m}$   $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  (0.135 at.%) (a) oxidized at  $550^\circ\text{C}$  for 36 min in  $\text{D}_2\text{O}$  vapor with 4000 ppm  $\text{O}_2$  added to the  $\text{N}_2$  carrier gas and exposed to atmosphere for only  $\sim 20\text{--}30$  min, (b) and then exposed to 100% relative humidity (RH)  $\text{D}_2\text{O}$  for two days, (c) and then exposed to atmosphere ( $\sim 30\text{--}40\%$  RH  $\text{H}_2\text{O}$ ) for another two days.

groups in the oxides. In order to conserve the  $\text{D}_2\text{O}$ , the oxidations here are carried out at a high temperature ( $\sim 550^\circ\text{C}$ ) with the addition of  $\text{O}_2$  to further enhance the oxidation rate [26]. We note that oxidation rates in  $\text{D}_2\text{O}$  vapor are  $\sim 0.6$  times slower than that in normal deionized  $\text{H}_2\text{O}$  vapor. Fig. 8(a) shows the FTIR spectra of an  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As:Er}$  ( $[\text{Er}] = 0.135 \text{ at.}\%$ ) film wet oxidized at  $550^\circ\text{C}$  for 36 min in  $\text{D}_2\text{O}$  vapor with 4000 ppm  $\text{O}_2$  (relative to  $\text{N}_2$ ) added to the process gas stream. The modulation envelopes evident in all spectra are clearly due to Fabry-Pérot interference effects, as the positions of the maximum and minima shift with the varying thickness of the film layers and incidence angles used in these experiments, while the OH or OD absorption dips remain at a fixed wavenumber. Surprisingly, no absorption due to OD stretching is observed in (a), while the absorption due to OH stretching is still present. The only possible source for OH groups in these films, oxidized in  $\text{D}_2\text{O}$  vapor, is from moisture adsorbed from the atmosphere during the 20–30 min required to transport the sample from the oxidation furnace and mount it in the evacuated FTIR instrument chamber for the measurement.

The FTIR spectra of Fig. 8(b), taken after the sample of Fig. 8(a) is exposed to 100% humidity of  $\text{D}_2\text{O}$  at room temperature for  $\sim 2$  days, shows a pronounced AlO-D dip around  $2500 \text{ cm}^{-1}$  while the AlO-H dip becomes shallow. This suggests that OD groups from adsorbed  $\text{D}_2\text{O}$  may incorporate into the oxides, possibly replacing OH groups. As shown in Fig. 8(c), when the same sample is exposed to the ambient room atmosphere again for another two days, the OD-related dips vanish. The FTIR spectra show clearly that the detected OH groups in AlGaAs films oxidized in  $\text{D}_2\text{O}$  vapor with 4000 ppm of  $\text{O}_2$  added come predominantly from moisture adsorbed from the atmosphere after oxidation. We did not perform this experiment without the use of added  $\text{O}_2$  to isolate its influence in the experiment, but have observed no obvious difference in the strength of OH absorption dips in films oxidized in  $\text{H}_2\text{O}$  with versus without added  $\text{O}_2$  (data not shown). These results suggest that with appropriate processing and hermetic

packaging, OH quenching issues in Er-doped wet oxides may be avoidable. We show below in Section V that AlGaAs:Er wet oxidized with added O<sub>2</sub> has PL intensities and lifetimes greater than those of dry oxidized films, suggesting that when prepared in this way, OH quenching is no more of an issue in these materials than in Al<sub>2</sub>O<sub>3</sub>:Er hosts prepared by other techniques.

It is unclear why in the FTIR spectra of dry oxidized Al<sub>0.5</sub>Ga<sub>0.5</sub>As films discussed previously (Section IV-A not shown), no obvious OH absorption bands are detected. Their lower refractive index compared to wet oxides suggest they are more porous, though they are less hygroscopic as indicated by their smaller refractive index increase after exposure to atmospheric moisture. One hypothesis is that the pore surface of the dry oxide is chemically different and less active than in the wet oxide such that adsorbed H<sub>2</sub>O will bond only weakly (if at all) with the dry oxides, while reacting and forming chemical bonds with the wet oxides. Thus, when the FTIR chamber is evacuated, the adsorbed moisture inside the dry oxides may evaporate while that in the wet oxides remains. Our FTIR experiments on Al<sub>0.5</sub>Ga<sub>0.5</sub>As native oxides annealed at 400 °C, 600 °C, and 700 °C in N<sub>2</sub> suggest that the majority of OH groups detectable in transmission through ~1 μm thick films are removed by 700 °C (data not shown). Some OH groups may still exist, as OH groups in bulk anhydrous aluminum oxides have been found even after heating to 898 °C, disappearing only after heating to 1057 °C [41].

## V. EFFECT OF OXYGEN ADDITION TO CARRIER GAS

The carrier gas used in the wet oxidation process is usually an inert gas, such as N<sub>2</sub> or Ar, and its only function is to transport the reactive agent (H<sub>2</sub>O vapor) into the furnace. Thus, it has little effect on the wet oxidation chemical reaction. It has been shown that the wet oxidation process is suppressed with O<sub>2</sub> as the carrier gas [7]. However, we have found for low Al-composition Al<sub>x</sub>Ga<sub>1-x</sub>As that adding a trace amount of O<sub>2</sub> (<1%) to the N<sub>2</sub> carrier gas results in significant increases in oxidation rate ( $x \leq 0.8$ ) and native oxide refractive index ( $x \leq 0.6$ ) [26], [27]. In this section, we report the beneficial impact of O<sub>2</sub> addition during wet oxidation upon the luminescent properties of Er<sup>3+</sup> ions in the oxide.

A group of Al<sub>0.58</sub>Ga<sub>0.42</sub>As:Er ([Er] = 0.27 at.%) samples were wet oxidized at 450 °C with the addition of varying amounts of O<sub>2</sub> to the process gas, and then annealed at 725 °C for ~12 min in N<sub>2</sub>. Fig. 9 shows the dependencies of the Er<sup>3+</sup> 1.534 μm PL peak intensity and lifetime on the added O<sub>2</sub> amount. The PL intensity increases sharply, about four times, with the addition of only 1000 ppm O<sub>2</sub> (relative to N<sub>2</sub>). The PL intensity then drops gradually back toward initial values with further increases in O<sub>2</sub> content up to 7000 ppm. The lifetime of <sup>4</sup>I<sub>13/2</sub> follows a different trend, nearly doubling from 2.6 to 5.0 ms with only 1000 ppm O<sub>2</sub> and remaining above 4 ms until dropping back to 3.3 ms at 7000 ppm.

Similar results have been observed for Al<sub>0.8</sub>In<sub>0.2</sub>As doped with Er during MBE growth (data not shown). The quick saturation of lifetime and refractive index [26] versus the carrier gas oxygen content suggests a different oxide microstructure is formed even with only a small addition of oxygen. A

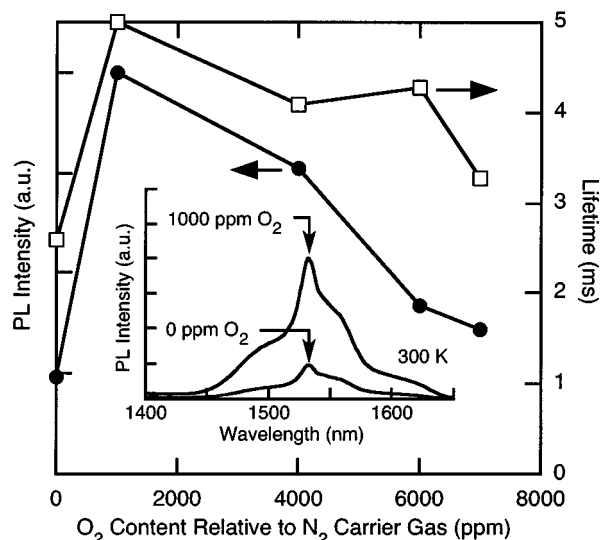


Fig. 9. The dependencies of Er<sup>3+</sup> 1.534-μm PL peak intensity and fluorescence lifetime on O<sub>2</sub> content in the carrier gas for implanted Al<sub>0.58</sub>Ga<sub>0.42</sub>As:Er ([Er] = 0.27 at.%) samples wet oxidized at 450 °C and annealed at 725 °C for ~12 min in N<sub>2</sub>. The inset shows the PL spectra and their relative intensities for samples oxidized with no O<sub>2</sub> and with 1000 ppm O<sub>2</sub> added; the later has a 1.533-μm peak and 47-nm FWHM.

more O-rich local environment may serve to suppress some nonradiative transition channels, such as OH or As related quenching centers. However, since the PL intensity increase is more dramatic than the increase in lifetime, it is likely that the O-enriched environment increases the number of nonbridging O atoms which, in turn, effectively coordinate more optically active Er<sup>3+</sup> ions [39] and prevent Er cluster formation [1], [17], [22].

Rutherford backscattering (RBS) data of Al<sub>0.3</sub>Ga<sub>0.7</sub>As wet oxides oxidized with UHP N<sub>2</sub> and with 7000 ppm O<sub>2</sub> content indicate that the ratio of O atoms versus III group elements, (Al + Ga), are 1.35 and 1.51, respectively [26]. This increased ratio is consistent with a more stoichiometric (Al<sub>x</sub>Ga<sub>1-x</sub>)<sub>2</sub>O<sub>3</sub> film [8] and confirms that more O atoms are incorporated into the native oxides formed with O<sub>2</sub> added to the process gas.

At the highest O<sub>2</sub> content in Fig. 9, the drop of PL intensity coincides with a drop in oxidation rate, as the added O<sub>2</sub> begins to consume excess hydrogen necessary for the reduction of As<sub>2</sub>O<sub>3</sub> (both wet oxidation reaction byproducts) to more volatile elemental As for removal from the oxidizing film [7]. This could result in more Er–As complexes, and thus induce additional As quenching, as discussed in Section III-C.

In Fig. 10, we directly compare the PL decay curves of the Er-implanted Al<sub>0.58</sub>Ga<sub>0.42</sub>As (0.27 at.%) samples of Fig. 9 oxidized with (a) 1000 ppm O<sub>2</sub> and (c) no added O<sub>2</sub> with the same sample (b) dry oxidized at 725 °C (the same temperature at which (a) and (c) are annealed). The similar lifetimes and single-exponential decay observed for both (a) the O-enriched wet oxide ( $\tau = 5.0$  ms) and (b) the dry oxide ( $\tau = 4.6$  ms) suggest that O<sub>2</sub> addition during wet oxidation is an effective approach for suppressing the deleterious affects of OH quenching centers discussed above in Section IV, and assumed responsible for the shorter 2.6-ms lifetime of (c), the wet oxide formed w/o added O<sub>2</sub>. The ~5-ms lifetimes compare favorably



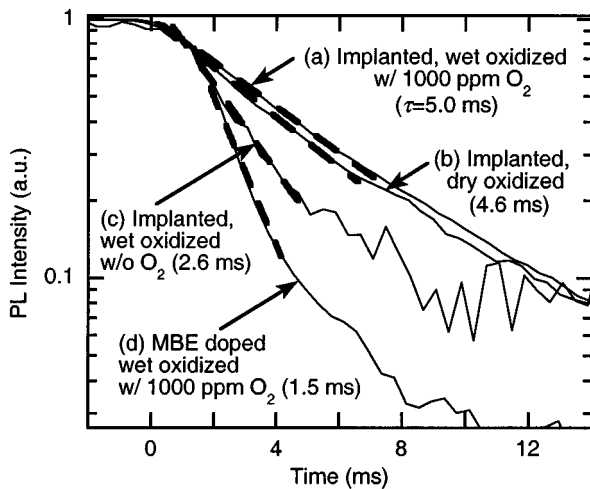


Fig. 10. Room temperature PL decay measurements at  $1.534 \mu\text{m}$  for implanted  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}:\text{Er}$  ( $\sim 0.27$  at% Er) (a) wet oxidized at  $450^\circ\text{C}$  with  $1000 \text{ ppm O}_2$  added and then annealed at  $725^\circ\text{C}$  in  $\text{N}_2$  for 12 min ( $\tau = 5.0 \text{ ms}$ ) and (b) dry oxidized at  $725^\circ\text{C}$  ( $\tau = 4.6 \text{ ms}$ ), (c) wet oxidized at  $450^\circ\text{C}$  with no  $\text{O}_2$  added and then annealed at  $725^\circ\text{C}$  in  $\text{N}_2$  ( $\tau = 2.6 \text{ ms}$ ), and (d) for  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}:\text{Er}$  ( $\sim 0.26$  at% Er) doped during MBE growth, wet oxidized at  $500^\circ\text{C}$  with  $1000 \text{ ppm O}_2$  added and annealed at  $725^\circ\text{C}$  in  $\text{N}_2$  for 20 min ( $\tau = 1.5 \text{ ms}$ ).

to those reported for  $\text{Al}_2\text{O}_3:\text{Er}$  films of comparable doping level [9], [20]. Also shown in Fig. 10(d) is the PL decay curve of  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}:\text{Er}$  ( $\sim 0.26$  at% Er) doped during MBE growth and wet oxidized at  $500^\circ\text{C}$  with  $1000 \text{ ppm O}_2$  added and annealed at  $725^\circ\text{C}$  in  $\text{N}_2$  for 20 min. The MBE-doped sample has a doping level and processing similar to the implanted sample of Fig. 10(a), yet still has a significantly shorter lifetime ( $\tau = 1.5 \text{ ms}$ ), indicating a greater density of quenching centers. While there is more uncertainty in the indirectly-inferred doping concentration of sample (c), this comparison points to implantation, a nonequilibrium process unconstrained by the solubility limit of Er in the semiconductor, as a more effective doping technique.

## VI. CONCENTRATION QUENCHING

Due to its small emission and absorption cross sections, high concentrations of Er (0.1–1 at.%) are required to realize amplification in a planar waveguide [12], [13], [15]. The maximum Er concentration is constrained by its solubility limit in the host. Increases in gain may not be proportional to the soluble Er concentration as the distances between Er ions become small, resulting in an enhancement of nonradiative de-excitation mechanisms caused by ion–ion interactions [19]. Both energy migration and cooperative upconversion can depopulate the erbium ions in the first excited state and impair the optical gain [14].

We have investigated concentration quenching in samples both doped via ion-implantation and *in situ* during MBE growth. Fig. 11 shows the peak photoluminescence at  $1534 \text{ nm}$  versus Er concentration for implanted  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}$  (a) dry oxidized at  $725^\circ\text{C}$ , (b) wet oxidized at  $501^\circ\text{C}$  without added  $\text{O}_2$ , and (c) wet oxidized at  $452^\circ\text{C}$  with  $1000 \text{ ppm O}_2$ ; and (d) for MBE-doped  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}$  wet oxidized at  $500^\circ\text{C}$  for 132 min with  $1000 \text{ ppm O}_2$ . All wet oxides were post-annealed in  $\text{N}_2$  at  $725^\circ\text{C}$  for  $\sim 20$  min. The PL intensities within each

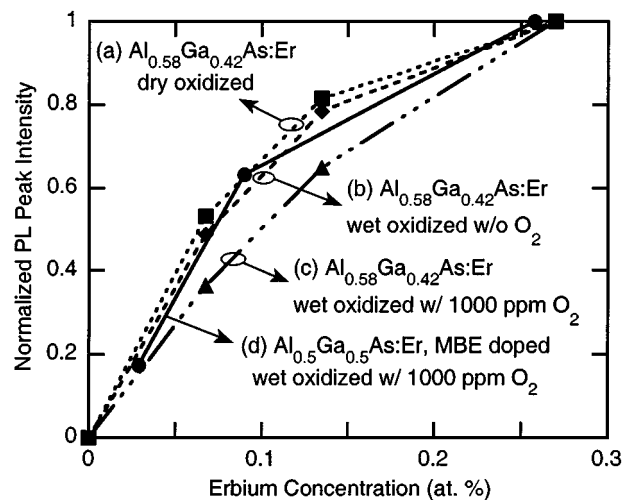


Fig. 11. Normalized PL peak intensity showing concentration quenching characteristics for PL of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}:\text{Er}$  erbium concentration in native oxides with 0.067, 0.135, and 0.27 at.% Er when (a) dry oxidized at  $725^\circ\text{C}$ , or wet oxidized (b) without added  $\text{O}_2$  at  $501^\circ\text{C}$ , and (c) with  $1000 \text{ ppm O}_2$  added to the  $\text{N}_2$  carrier gas at  $452^\circ\text{C}$ ; and (d) for  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{As}:\text{Er}$  doped during MBE growth with about 0.029, 0.09, and 0.258 at.% Er, wet oxidized at  $500^\circ\text{C}$  for 132 min with  $1000 \text{ ppm O}_2$  added. All wet oxides are annealed  $\sim 20$  min in  $\text{N}_2$  at  $\sim 725^\circ\text{C}$ . Sample (c) shows the least concentration quenching, with a  $1.54\times$  intensity increase as the Er concentration doubles from 0.235 to 0.27 at.%.

group of samples (a)–(d) are normalized by the maximum PL intensity for the purpose of comparing quenching behavior (i.e., the slope, or change in PL signal for change in doping concentration) such that comparisons of relative PL intensity between sample groups from this plot are not valid. The curves are extrapolated to zero as an illustration aid. Concentration quenching is clearly evident in all curves, as the PL intensity does not increase linearly in proportion to increased Er concentration. In the dry oxides of Fig. 11(a), the PL intensity increases only 1.22 times as the Er concentration doubles from 0.135 at.% to 0.27 at.%. Of the three oxidation techniques, samples in (c) prepared by wet oxidation with  $\text{O}_2$  added (Section V) exhibit the lowest degree of concentration quenching behavior. For these O-enriched wet oxides of Fig. 11(c), the PL intensity increases 1.54 times as erbium concentration doubles from 0.135 at.% to 0.27 at.%.

The PL intensity is directly proportional to the lifetime and the number of optically active Er atoms [9]. The measured  $\text{Er}^4\text{I}_{13/2}$  level lifetime of the dry oxides of Fig. 11(a) decreases by  $0.87\times$  from 5.7 ms at 0.135 at.% to 4.6 ms at 0.27 at.%, with the decrease attributed to the ion–ion interaction between closely spaced ions. However, this lifetime decrease does not account for the entire PL intensity saturation factor as the Er concentration is doubled ( $1.22/2.0 = 0.61\times$ ), indicating a  $\sim 0.7\times$  decrease in the fraction of optically active Er atoms. This is in contrast to the observation with Er-doped  $\text{Al}_2\text{O}_3$  prepared by sputtering that the fraction of active Er ions does not change with concentrations up to 1 at.% [9].

One possible source of additional nonoptically active sites may be Er clusters formed in either the semiconductor or the oxide during the high temperature oxidation process. Er aggregation most likely happens in the not yet oxidized semiconductor, which has a much lower Er solubility, occurring more aggressively as the Er concentration and/or temperature increases.

This hypothesis is consistent with Fig. 11(a) in which the highest temperature process, dry oxidation, shows the greatest decrease in PL intensity as Er concentration increases. Fig. 11(b) shows that the quenching behavior of  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}:\text{Er}$  films wet oxidized at 501 °C without added  $\text{O}_2$  is only slightly reduced compared to the dry oxidized films in (a), but significant improvement occurs in (c) where wet oxidation is done with the assistance of 1000 ppm added  $\text{O}_2$  at the reduced processed temperature of 452 °C. Both the reduced Er diffusion at the lower temperature and the increased incorporation of O in the oxide films may contribute to a reduction of the rate of apparent Er precipitate formation as doping is increased. The data of Fig. 11(c) suggest that still higher Er concentrations are feasible in these films. Both Er and ErAs precipitate formation [Section III-C] may be dramatically relieved by performing the Er implantation after oxidation. Finally, the pumping efficiency and waveguide amplifier gain in  $\text{Al}_2\text{O}_3:\text{Er}$  can be further increased through the use of the codopants such as  $\text{Yb}^{3+}$  [18], [22], [23] or  $\text{Eu}^{3+}$  [21].

## VII. CONCLUSION

We have shown that the native oxides of AlGaAs are promising hosts for optically active  $\text{Er}^{3+}$ , offering the added potential for monolithic integration of planar waveguide amplifiers or lasers with active semiconductor optoelectronic and electronic components. Arsenic liberated from the semiconductor during oxidation or incorporated from the GaAs substrate during high-temperature annealing is shown to be a possible source of quenching centers, most likely through the formation of ErAs precipitates. OH groups in the wet oxides have been detected by FTIR spectroscopy and suggested as probable quenching centers from the comparison of photoluminescence between wet and dry oxides. Oxidation and FTIR experiments employing heavy water ( $\text{D}_2\text{O}$ ) suggest that OH groups in AlGaAs wet oxidized with added  $\text{O}_2$  come mainly from post-oxidation adsorption of moisture from the atmosphere. The addition of trace amounts of  $\text{O}_2$  during wet oxidation is shown to greatly enhance the Er photoluminescence intensity and decay lifetimes. For  $\text{Al}_{0.58}\text{Ga}_{0.42}\text{As}$  samples doped via multiple high-energy ion implantation with a peak Er concentration of 0.29 at.%, lifetimes as high as 5.0 ms are achieved.

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